

# EPA OIG Recovery Act Monthly Report - November 2012

Monthly Update Report Data (sheet 1 of 5) Version 5.0a	
Reporting OIG: Environmental Protection Agency - OIG	
Month Ending Date: 11/30/2012	

Recovery Act Funds Used on Recovery Act Activity								
No.	Agency / Bureau	Recovery Act TAFS	Award Type	US Indicator	Total Obligations	Total Gross Outlays	Direct or Reimbursable	Ordering TAFS
1	Environmental Protection Agency - OIG	(68-0113 2009 \ 2012) Environmental Protection Agency - OIG - Recovery Act	Contracts and Orders (including modifications)	Y - US	\$254,099	\$243,811		
2	Environmental Protection Agency - OIG	(68-0113 2009 \ 2012) Environmental Protection Agency - OIG - Recovery Act	Other	Y - US	\$50,986	\$18,175,617		
3								
4								
5								
6								
7								
8								

Non-Recovery Act Funds Used on Recovery Act Activity										
No.	Agency / Bureau	FY 2009 Non-Recovery Act TAFS	Total FY 2009 Obligations	Total FY 2009 Gross Outlays	FY 2010 Non-Recovery Act TAFS	Total FY 2010 Obligations	Total FY 2010 Gross Outlays	FY 2011 Non-Recovery Act TAFS	Total FY 2011 Obligations	Total FY 2011 Gross Outlays
1	Environmental Protection Agency - OIG									
2										
3										
4										
5										
6										
7										
8										

Non-Recovery Act Funds Used on Recovery Act Activity						
No.	Agency / Bureau	FY 2012 Non-Recovery Act TAFS	Total FY 2012 Obligations	Total FY 2012 Gross Outlays	FY 2013 Non-Recovery Act TAFS	Total FY 2013 Obligations
1	Environmental Protection Agency - OIG				(68-0112 2013) Environmental Protection Agency - OIG	\$235,674
2						
3						
4						

## OIG Recovery Act Monthly Report

### Monthly Update Report Data (sheet 2 of 5) Version 5.0a

Reporting OIG: Environmental Protection Agency - OIG

Month Ending Date: 11/30/2012

Fiscal Year 2009			
Monetary Results -Investigations		Monetary Results - Audits, Inspections, Reviews*	
Recoveries (FY 09):		Questioned Costs (FY 09):	
Forfeitures/Seizures (FY 09):		Unsupported Costs (FY 09):	
Estimated Savings (FY 09):		Recommendations for Better Use of Funds (FY 09):	

Fiscal Year 2010			
Monetary Results -Investigations		Monetary Results - Audits, Inspections, Reviews*	
Recoveries (FY 10):		Questioned Costs (FY 10):	
Forfeitures/Seizures (FY 10):		Unsupported Costs (FY 10):	
Estimated Savings (FY 10):	\$3,400,000.00	Recommendations for Better Use of Funds (FY 10):	

Fiscal Year 2011			
Monetary Results -Investigations		Monetary Results - Audits, Inspections, Reviews*	
Recoveries (FY 11):	\$196,369.00	Questioned Costs (FY 11):	\$4,670,000.00
Forfeitures/Seizures (FY 11):		Unsupported Costs (FY 11):	
Estimated Savings (FY 11):	\$1,729,542.00	Recommendations for Better Use of Funds (FY 11):	\$1,510,000.00

Fiscal Year 2012			
Monetary Results -Investigations		Monetary Results - Audits, Inspections, Reviews*	
Recoveries (FY 12):	\$206,174.00	Questioned Costs (FY 12):	\$15,890,000.00
Forfeitures/Seizures (FY 12):	\$697,835.00	Unsupported Costs (FY 12):	
Estimated Savings (FY 12):		Recommendations for Better Use of Funds (FY 12):	

Fiscal Year 2013			
Monetary Results -Investigations		Monetary Results - Audits, Inspections, Reviews*	
Recoveries (FY 13):		Questioned Costs (FY 13):	\$5,060,000.00
Forfeitures/Seizures (FY 13):		Unsupported Costs (FY 13):	
Estimated Savings (FY 13):		Recommendations for Better Use of Funds (FY 13):	

Cumulative Since 2/17/2009			
Monetary Results -Investigations		Monetary Results - Audits, Inspections, Reviews*	
Recoveries (cumulative):	\$402,543.00	Questioned Costs (cumulative):	\$25,620,000.00
Forfeitures/Seizures (cumulative):	\$697,835.00	Unsupported Costs (cumulative):	\$0.00
Estimated Savings (cumulative):	\$5,129,542.00	Recommendations for Better Use of Funds (cumulative):	\$1,510,000.00

\* These data include Federal Audits, Inspections, and Reviews only

## OIG Recovery Act Monthly Report

Monthly Update Report Data (sheet 3 of 5) Version 5.0a	
Reporting OIG:	Environmental Protection Agency - OIG
Month Ending Date:	11/30/2012

FTE Working on Recovery						
Fiscal Year	2009	2010	2011	2012	2013	Cumulative
Newly Hired FTE (cumulative):	0.17	2.74	9.78	14.00	0.00	26.69
FTE Funded by Recovery Act Funds (cumulative):	10.88	45.80	28.00	33.40	0.00	118.08
FTE <u>Not</u> Funded by Recovery Act Funds (cumulative):	0.00	0.00	0.00	0.00	1.15	144.77

Testimonies:	
Provided (monthly):	0
Provided (cumulative):	1

Complaints		Whistleblower Reprisal Allegations		Investigations		Audits / Inspections / Evaluations / Reviews		Training / Outreach	
Monthly Data		Monthly Data		Monthly Data		Monthly Data		Monthly Data	
Received:	1	Received:	0	Opened (this month):	0	Initiated (this month):	0	Training Sessions Provided:	0
		Accepted:	0	Active (as of the end of the month):	42	In Process (as of the end of the month):	13	Individuals Trained:	0
		Closed without Action:		Closed without Action:	2	Completed Final Published Work Products:	0	Hours of Training Provided:	0
		Prosecution Declined:		Prosecution Declined:	0	Priority Interim Published Work Products:	0	Outreach Sessions Conducted:	0
		Referred for Alternative Resolution:		Referred for Alternative Resolution:	0	Unpublished Work Products*:	4		
		Convictions, Settlements, Pleas, Judgments:		Convictions, Settlements, Pleas, Judgments:	0	QCRs Issued:	0		
Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009	
Received:	92	Received:	0	Closed without Action:	91	Completed Final Published Work Products:	49	Training Sessions Provided:	115
		Accepted:	0	Prosecution Declined:	0	Priority Interim Published Work Products:	0	Individuals Trained:	4181
		Referred for Alternative Resolution:		Referred for Alternative Resolution:	3	Unpublished Work Products*:	990	Hours of Training Provided:	13122
		Convictions, Settlements, Pleas, Judgments:		Convictions, Settlements, Pleas, Judgments:	2	QCRs Issued:	0	Outreach Sessions Conducted:	60
		<b>Cumulative Total:</b>	<b>96</b>	<b>Cumulative Total:</b>	<b>96</b>	<b>Cumulative Total:</b>	<b>1039</b>		

\*These work products were not published because they contain proprietary or other sensitive information that cannot be made available to the public.

# OIG Recovery Act Monthly Report

## Monthly Update Report Data (sheet 4 of 5) Version 5.0a

<b>Reporting OIG:</b>	Environmental Protection Agency - OIG
<b>Month Ending Date:</b>	11/30/2012

No.	OTHER TYPES OF SIGNIFICANT ACTIVITIES (Completed/On-Going During Reporting Month)
1	On-going assignments: Nine site visits of construction sites, municipal and local government sub-recipients and contractors receiving Recovery Act Funds
2	On-going assignments: Responding to two ARRA related hotlines submitted to OIG
3	On-going assignments: ARRA principle forgiveness
4	On-going assignment: CPA contract for review of state cooperative agreement
5	
6	
7	
8	
9	
10	

No.	OTHER TYPES OF SIGNIFICANT ACTIVITIES (Planned for Next Three Months)
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	

# OIG Recovery Act Monthly Report

Monthly Update Report Data (sheet 5 of 5) Version 5.0a	
Reporting OIG:	Environmental Protection
Month Ending	11/30/2012

TRAINING ACTIVITIES									
No.	Type of Training	Target Audience	Title of Training	Training Location (City, State)	Date of Training	Length of Training (hours)	Number of Participants	Hours of Training Provided (length x participants)	Cost of Training
1								0	
2								0	
3								0	
4								0	
5								0	
6								0	
7								0	
8								0	
9								0	
10								0	
<b>TOTAL</b>							<b>0</b>	<b>0</b>	

OUTREACH ACTIVITIES					
No.	Organization to which Outreach Provided	Number of Organizations Represented at Outreach Session	Description of Outreach	Outreach Location (City, State)	Date of Outreach
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					

## OIG Recovery Act Oversight Activities

### ARRA Oversight Activity By Category

Reporting OIG:	Environmental Protection Agency - OIG
Month Ending Date:	11/30/2012

OIG	Monthly Report Tie -Total	Infrastructure	Entitlements	Check Total
<b>Active Investigations</b>	42	42	0	42
<b>Cumulative Convictions, Judgments, Settlements, Pleas</b>	2	2	0	2
<b>Total Monetary Loss Associated w/ Convictions, Judgments, Settlements, Pleas</b>		\$0	\$0	\$0

**PURPOSE of the REPORT:**

To provide specific oversight data for ARRA contracts, grant, loans, and entitlement programs. The reports will be consolidated and used to update the Universe of Recovery Act Funds graphic on Recovery.gov.

**SUBMISSION:**

Only those IGs with ARRA Entitlement Programs and/or who report conviction data will need to complete and submit the additional template. This report should be submitted (as a separate file) along with the ARRA Monthly report, which is due five working days after the end of each month. The file should be named using the OIG Name, Reporting Month, Title of Report, and Date of Submission (e.g., USDA OIG October 2012 ARRA Oversight Activity By Category 111312.xls). The file should be saved as an Excel 97-2003 Workbook file. Send the report file to: RecoveryUpdates@ratb.gov.

# OIG Recovery Act Oversight Activities

## INSTRUCTIONS:

**Reporting OIG** - Pick from list of OIGs required to report on Recovery Act activity.

**Month Ending Date** - Enter the final day of the month (e.g., 10/31/2012) regardless of the day of the week submitted.

**Monthly Report Tie Total** - Enter the Active Investigations and Cumulative Convictions (Judgments, Settlements, Pleas), data as reported on the corresponding month's ARRA Report.

**Infrastructure** - Enter the number of Active Investigations, Cumulative Convictions, etc., and Total Monetary Loss for ARRA contract, grant, and loan programs (Infrastructure).

**Entitlements** - Enter the number of Active Investigations, Cumulative Convictions, etc., and Total Monetary Loss for ARRA entitlement programs (see list of entitlement programs provided).

**Check Total** - Sum of the data entered into cells in the Infrastructure and Entitlements Columns. Note: The sum for Active Investigations and Cumulative Convictions, etc. should match the corresponding cell in the Monthly Report Tie-Total Column. These cells are formula driven, no input is necessary.

## Terminology

**Infrastructure** - term used to describe ARRA federal contract, grant, and loan programs.

**Entitlements** - one or more of the ARRA funded programs provided in this workbook as identified by the applicable Recovery Agency.

**Total \$ Loss** - refers to the total ARRA \$ loss to the agency related to the fraudulent case. This amount is usually what is reported to the US Attorney's office. It is the total ARRA amount associated with the case but is not always the actual settlement or recovery.