



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OFFICE OF
INSPECTOR GENERAL

March 15, 2023

MEMORANDUM

SUBJECT: Notification of Audit:
Climate Change Resiliency in Clean Water State Revolving Fund Intended Use Plans
Project No. OA-FY23-0055

FROM: Michael D. Davis, Director *Michael D. Davis*
Environmental Investment and Infrastructure Directorate
Office of Audit

TO: Radhika Fox, Assistant Administrator
Office of Water

The U.S. Environmental Protection Agency Office of Inspector General plans to begin fieldwork on this audit, which is part of a planned audit, *Water Infrastructure Resilience Against Climate Change*, included in the OIG's [oversight plan](#) for fiscal year 2023. This audit addresses the following fiscal year 2023 [top management challenges](#) for the Agency: mitigating the causes and adapting to the impacts of climate change and managing increased investment in infrastructure.

The OIG's objectives are to determine to what extent (1) the EPA is providing guidance and reviewing states' Clean Water State Revolving Fund intended use plans to ensure that the plans, as they relate to climate change resiliency, meet the intent of the presidential policy directive to strengthen and maintain secure, functioning, and resilient critical infrastructure and (2) the states, in their Clean Water State Revolving Fund planning, are considering climate change resiliency to safeguard federal investment, including the Infrastructure Investment and Jobs Act and annual appropriation funding.¹ We plan to conduct work within the Office of Water and select EPA regional offices. Applicable generally accepted government auditing standards will be used in conducting our audit. The anticipated benefits of this audit are improving how the EPA and its state partners are building resiliency to adverse impacts of climate change through their administration of the Clean Water State Revolving Fund program and safeguarding federal infrastructure investment.

We will contact you to arrange a mutually agreeable time to discuss our objectives. We would also be particularly interested in any areas of concern that you may have. We will answer any of your questions about the audit process, reporting procedures, methods used to gather and analyze data, and what we should expect of each other during the audit. Throughout the audit, we will provide updates on a regular basis.

We respectfully note that the OIG is authorized by the Inspector General Act of 1978, as amended, to have timely access to personnel and all materials necessary to complete its objectives. Similarly, EPA Manual 6500, *Functions and Activities of the Office of Inspector General* (1994), requires that each

¹ [Presidential Policy Directive 21](#), *Critical Infrastructure Security and Resilience*, February 12, 2013.

EPA employee cooperate with and fully disclose information to the OIG. Also, Administrator Michael S. Regan, in an April 28, 2021 email message to EPA employees, conveyed his “expectation that EPA personnel provide OIG timely access to records or other information” and observed that “full cooperation with the OIG is in the best interest of the public we serve.” We will request that you immediately resolve the situation if an Agency employee or contractor refuses to provide requested materials to the OIG or otherwise fails to cooperate with the OIG. Consistent with the IG Act, we may report unresolved access matters to the administrator and to Congress.

We will post this memorandum on our public website at www.epa.gov/oig. Anyone with knowledge of potential fraud, waste, abuse, misconduct, or mismanagement related to this audit should contact the OIG Hotline at (888) 546-8740 or via an electronic form on the “OIG Hotline” [webpage](#).

cc: Janet McCabe, Deputy Administrator
Dan Utech, Chief of Staff, Office of the Administrator
Jon Monger, Assistant Deputy Administrator
Wesley J. Carpenter, Deputy Chief of Staff for Management, Office of the Administrator
Benita Best-Wong, Deputy Assistant Administrator for Water
Bruno Pigott, Deputy Assistant Administrator for Water
Macara Lousberg, Director, Office of Program Analysis, Regulatory, and Management Support, Office of Water
Janita Aguirre, Associate Director, Office of Program Analysis, Office of Water
Andrew Sawyers, Director, Office of Wastewater Management, Office of Water
Cameo Smoot, Audit Follow-Up Coordinator, Office of Water
Susan Perkins, Agency Follow-Up Coordinator
Andrew LeBlanc, Agency Follow-Up Coordinator
José Kercado, Agency Follow-Up Coordinator
Maria Michalos, Acting Associate Administrator for Public Affairs
Lance McCluney, Director, Office of Administrative and Executive Services, Office of the Administrator
Regional Audit Follow-Up Coordinators, Regions 1–10
Sean W. O'Donnell, Inspector General
Nicole N. Murley, Acting Deputy Inspector General
Benjamin May, Counsel to the Inspector General
Kellie J. Walker, Chief of Staff, Office of Inspector General
Katherine Trimble, Assistant Inspector General for Audit
Paul H. Bergstrand, Assistant Inspector General for Special Review and Evaluation
Jason Abend, Assistant Inspector General for Investigations
Michael C. Zola, Assistant Inspector General for Congressional and Public Affairs
Tom Collick, Deputy Counsel to the Inspector General
Erin Barnes-Weaver, Deputy Assistant Inspector General for Evaluation
Sandra John, Acting Deputy Assistant Inspector General for Audit
Marcus Gullett, Acting Deputy Assistant Inspector General for Audit
James Hatfield, Special Advisor, Office of Audit, Office of Inspector General
Susan Barvenik, Associate Deputy Counsel to the Inspector General
Jennifer Kaplan, Deputy Assistant Inspector General for Congressional and Public Affairs
Lynn Carlson, Deputy Assistant Inspector General for Congressional and Public Affairs
Lori Hoffman, Congressional and Media Liaison, Office of Inspector General